

# Finite Automata

Languages

Deterministic Finite Automata

Representations of Automata

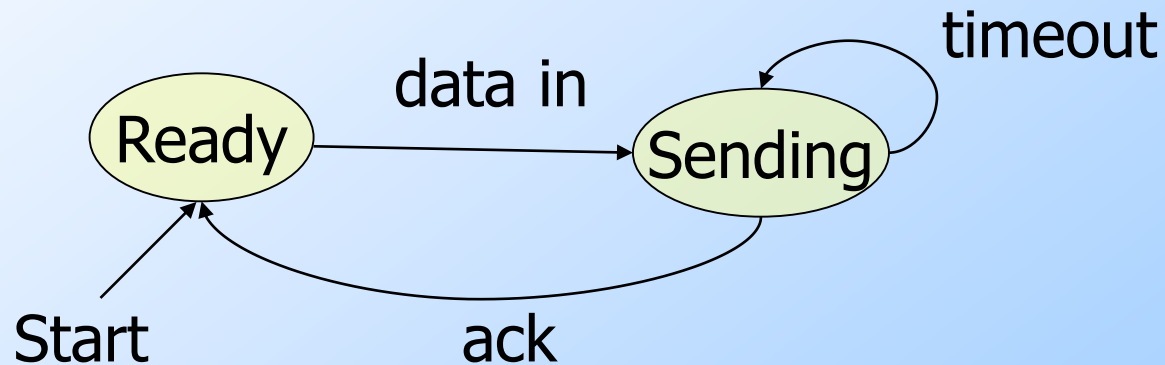
# Informal Explanation

- ◆ Finite automata are finite collections of **states** with **transition rules** that take you from one state to another.
- ◆ Original application was sequential switching circuits, where the “state” was the settings of internal bits.
- ◆ Today, several kinds of software can be modeled by FA.

# Representing FA

- ◆ Simplest representation is often a graph.
  - ◆ Nodes = states.
  - ◆ Arcs indicate state transitions.
  - ◆ Labels on arcs tell what causes the transition.

# Example: Protocol for Sending Data



# Alphabets

- ◆ An *alphabet* is any finite set of symbols.
- ◆ **Examples:** ASCII, Unicode,  $\{0,1\}$  (*binary alphabet*),  $\{a,b,c\}$ .

# Strings

- ◆ The set of *strings* over an alphabet  $\Sigma$  is the set of lists, each element of which is a member of  $\Sigma$ .
  - ◆ Strings shown with no commas, e.g., abc.
- ◆  $\Sigma^*$  denotes this set of strings.
- ◆  $\epsilon$  stands for the *empty string* (string of length 0).

# Example: Strings

- ◆  $\{0,1\}^* = \{\epsilon, 0, 1, 00, 01, 10, 11, 000, 001, \dots\}$
- ◆ **Subtlety:** 0 as a string, 0 as a symbol look the same.
  - ◆ Context determines the type.

# Languages

- ◆ A *language* is a subset of  $\Sigma^*$  for some alphabet  $\Sigma$ .
- ◆ **Example:** The set of strings of 0's and 1's with no two consecutive 1's.
- ◆  $L = \{\epsilon, 0, 1, 00, 01, 10, 000, 001, 010, 100, 101, 0000, 0001, 0010, 0100, 0101, 1000, 1001, 1010, \dots\}$



# Deterministic Finite Automata

- ◆ A formalism for defining languages, consisting of:
  1. A finite set of *states* ( $Q$ , typically).
  2. An *input alphabet* ( $\Sigma$ , typically).
  3. A *transition function* ( $\delta$ , typically).
  4. A *start state* ( $q_0$ , in  $Q$ , typically).
  5. A set of *final states* ( $F \subseteq Q$ , typically).
    - ◆ “Final” and “accepting” are synonyms.

# The Transition Function

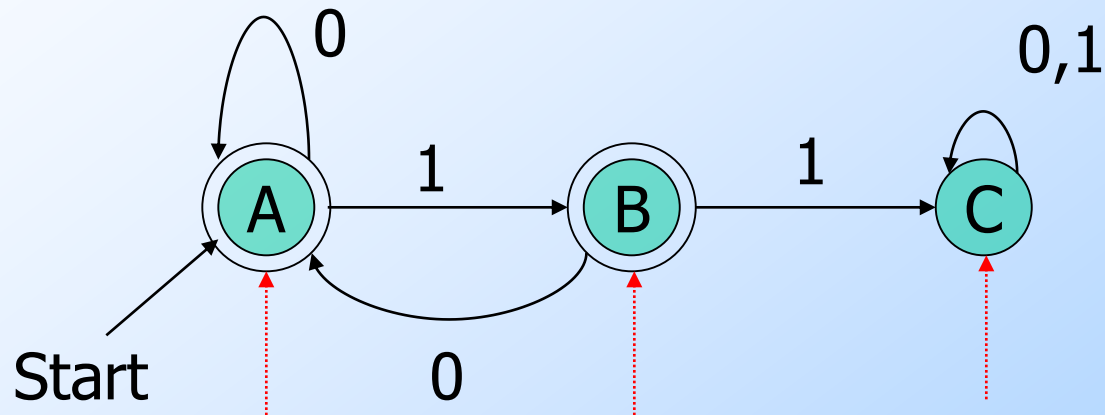
- ◆ Takes two arguments: a state and an input symbol.
- ◆  $\delta(q, a)$  = the state that the DFA goes to when it is in state  $q$  and input  $a$  is received.

# Graph Representation of DFA's

- ◆ Nodes = states.
- ◆ Arcs represent transition function.
  - ◆ Arc from state  $p$  to state  $q$  labeled by all those input symbols that have transitions from  $p$  to  $q$ .
- ◆ Arrow labeled "Start" to the start state.
- ◆ Final states indicated by double circles.

# Example: Graph of a DFA

Accepts all strings without two consecutive 1's.

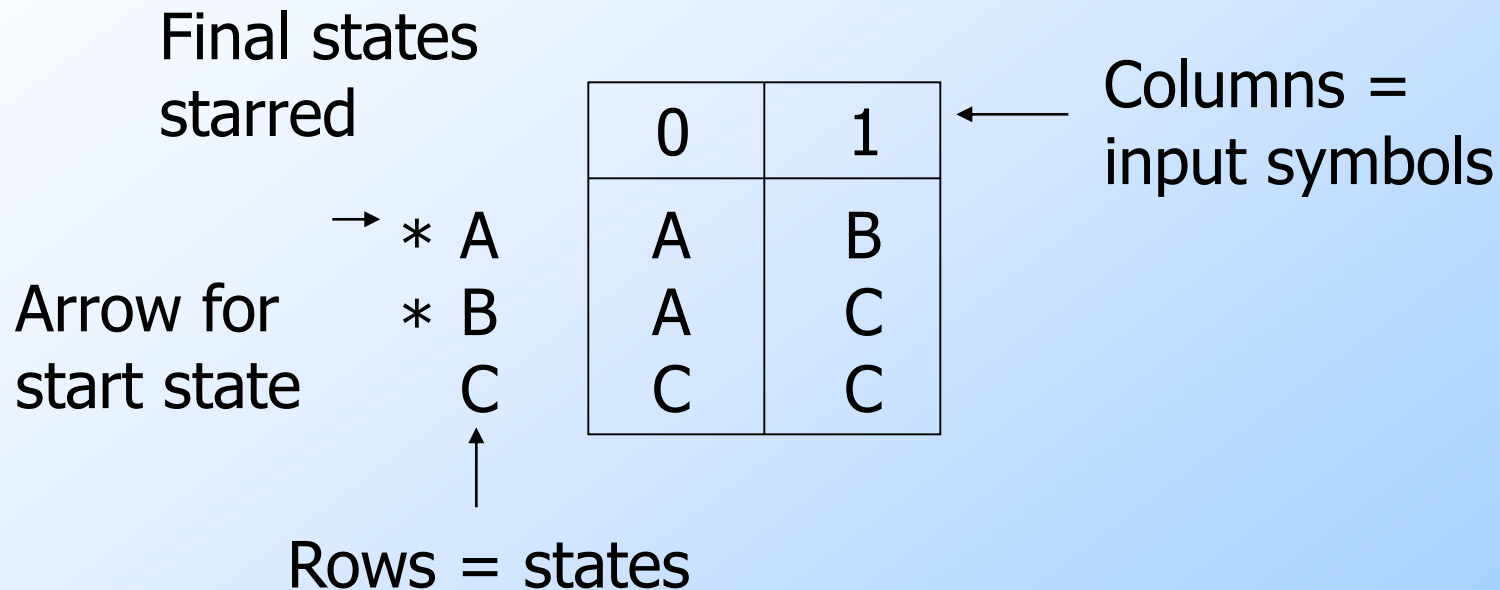


Previous string OK, does not end in 1.

Previous String OK, ends in a single 1.

Consecutive 1's have been seen.

# Alternative Representation: Transition Table



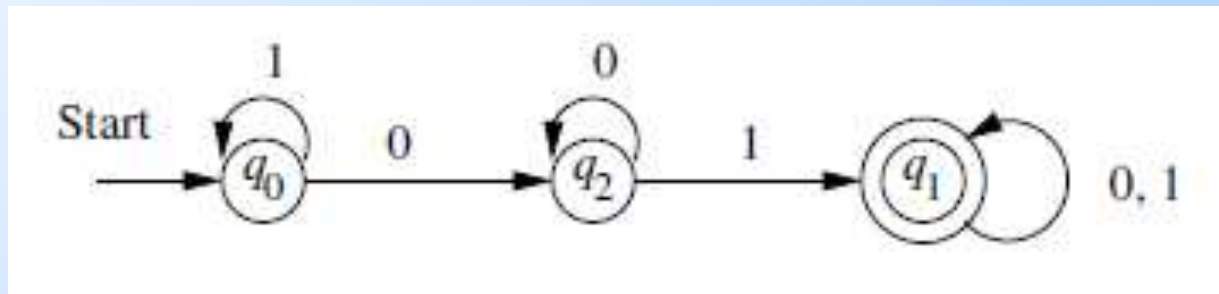
# Classwork

Draw transition diagram for DFA accepting all strings with a substring 01

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Draw transition diagram for DFA accepting all strings with a substring 01

Answer:



# Extended Transition Function

- ◆ We describe the effect of a string of inputs on a DFA by extending  $\delta$  to a state and a string.
- ◆ Induction on length of string.
- ◆ **Basis:**  $\delta(q, \epsilon) = q$
- ◆ **Induction:**  $\delta(q, wa) = \delta(\delta(q, w), a)$ 
  - ◆  $w$  is a string;  $a$  is an input symbol.



# Extended $\delta$ : Intuition

## ◆ Convention:

- ◆ ...  $w, x, y, x$  are strings.
- ◆  $a, b, c, \dots$  are single symbols.

- ◆ Extended  $\delta$  is computed for state  $q$  and inputs  $a_1 a_2 \dots a_n$  by following a path in the transition graph, starting at  $q$  and selecting the arcs with labels  $a_1, a_2, \dots, a_n$  in turn.

# Example: Extended Delta

	0	1
A	A	B
B	A	C
C	C	C

$$\delta(B,011) = \delta(\delta(B,01),1) = \delta(\delta(\delta(B,0),1),1) =$$
$$\delta(\delta(A,1),1) = \delta(B,1) = C$$

# Delta-hat

◆ In book, the extended  $\delta$  has a “hat” to distinguish it from  $\delta$  itself.

◆ Not needed, because both agree when the string is a single symbol.

◆  $\overset{\wedge}{\delta}(q, a) = \overset{\wedge}{\delta}(\overset{\wedge}{\delta}(q, \epsilon), a) = \delta(q, a)$

Extended deltas

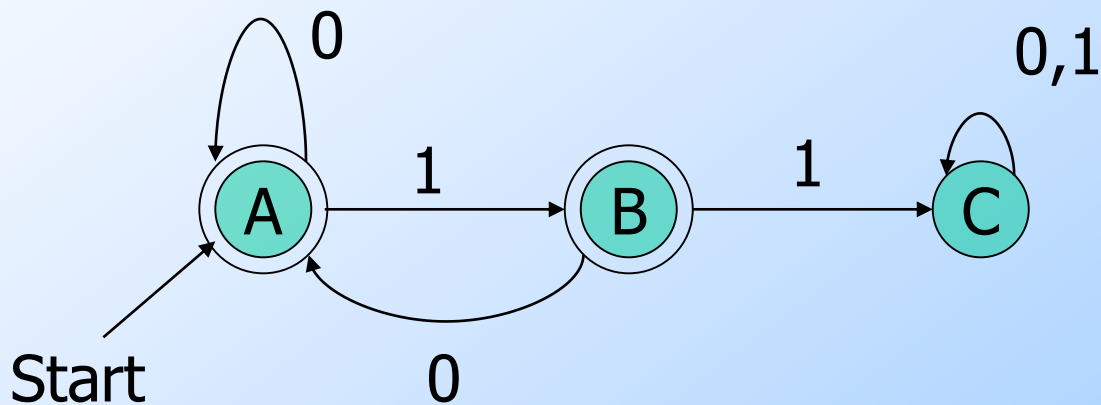


# Language of a DFA

- ◆ Automata of all kinds define languages.
- ◆ If  $A$  is an automaton,  $L(A)$  is its language.
- ◆ For a DFA  $A$ ,  $L(A)$  is the set of strings labeling paths from the start state to a final state.
- ◆ Formally:  $L(A) =$  the set of strings  $w$  such that  $\delta(q_0, w)$  is in  $F$ .

# Example: String in a Language

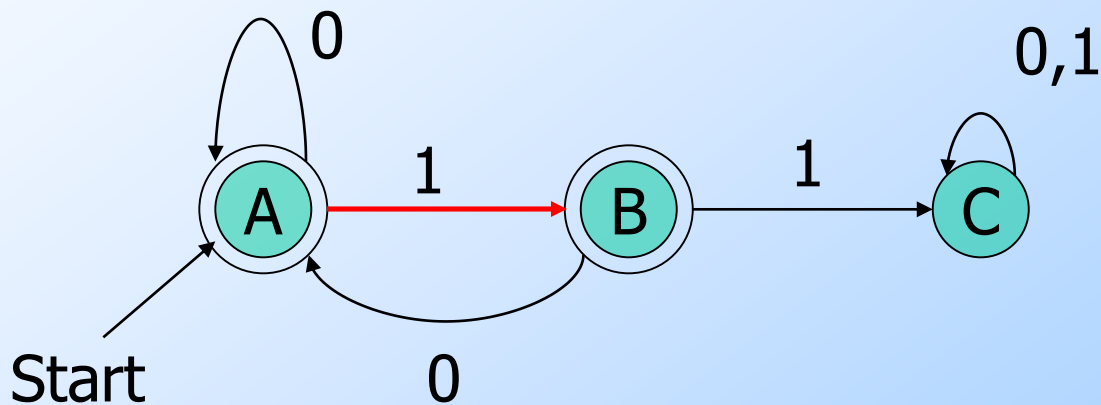
String 101 is in the language of the DFA below.  
Start at A.



# Example: String in a Language

String 101 is in the language of the DFA below.

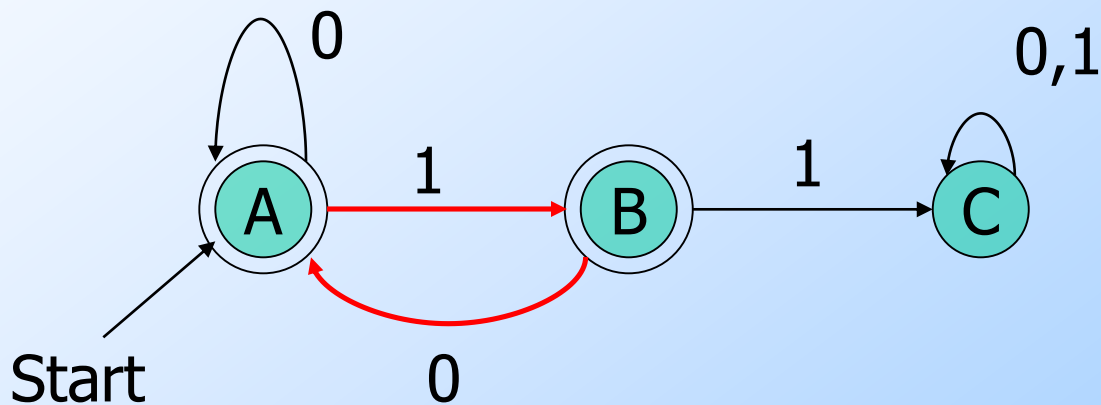
Follow arc labeled 1.



# Example: String in a Language

String 101 is in the language of the DFA below.

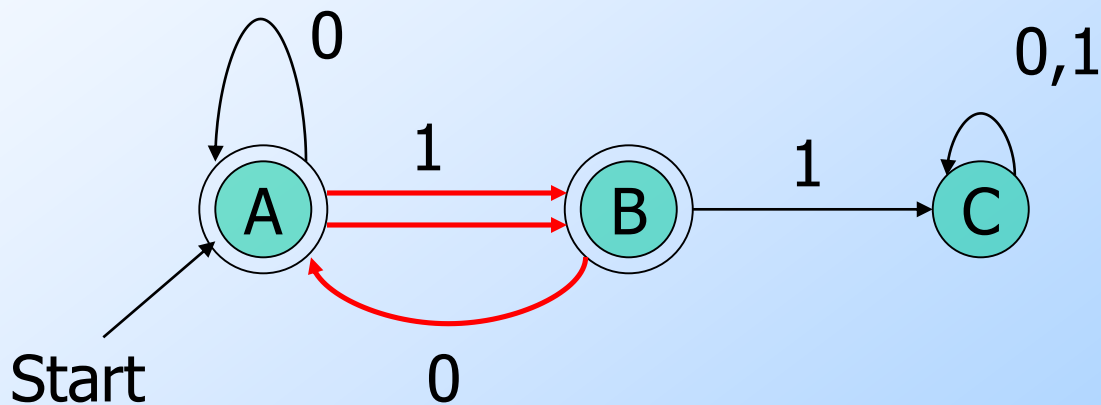
Then arc labeled 0 from current state B.



# Example: String in a Language

String 101 is in the language of the DFA below.

Finally arc labeled 1 from current state A. Result is an accepting state, so 101 is in the language.





# Example – Concluded

◆ The language of our example DFA is:  
 $\{w \mid w \text{ is in } \{0,1\}^* \text{ and } w \text{ does not have}$   
 $\text{two consecutive 1's}\}$

Such that...

These conditions  
about  $w$  are true.

Read a *set former* as  
"The set of strings  $w$ ..."

# Regular Languages

- ◆ A language  $L$  is *regular* if it is the language accepted by some DFA.
  - ◆ **Note**: the DFA must accept **only** the strings in  $L$ , no others.
- ◆ Some languages are not regular.
  - ◆ Intuitively, regular languages “cannot count” to arbitrarily high integers.

# Example: A Nonregular Language

$$L_1 = \{0^n 1^n \mid n \geq 1\}$$

◆ **Note:**  $a^i$  is conventional for  $i$   $a$ 's.

◆ Thus,  $0^4 = 0000$ , e.g.

◆ **Read:** "The set of strings consisting of  $n$  0's followed by  $n$  1's, such that  $n$  is at least 1.

◆ Thus,  $L_1 = \{01, 0011, 000111, \dots\}$