

# Normal Forms for CFG's

Eliminating Useless Variables

Removing Epsilon

Removing Unit Productions

Chomsky Normal Form

# Variables That Derive Nothing

- ◆ Consider:  $S \rightarrow AB$ ,  $A \rightarrow aA \mid a$ ,  $B \rightarrow AB$
- ◆ Although  $A$  derives all strings of  $a$ 's,  $B$  derives no terminal strings
- ◆ Thus,  $S$  derives nothing, and the language is empty.

# Algorithm to Eliminate Variables That Derive Nothing

1. Discover all variables that derive terminal strings.
2. For all other variables, remove all productions in which they appear either on the left or the right.

# Example: Eliminate Variables

$S \rightarrow AB \mid C, A \rightarrow aA \mid a, B \rightarrow bB, C \rightarrow c$

- ◆ **Basis:** A and C are identified because of  $A \rightarrow a$  and  $C \rightarrow c$ .
- ◆ **Induction:** S is identified because of  $S \rightarrow C$ .
- ◆ Nothing else can be identified.
- ◆ **Result:**  $S \rightarrow C, A \rightarrow aA \mid a, C \rightarrow c$

# Unreachable Symbols

- ◆ Another way a terminal or variable deserves to be eliminated is if it cannot appear in any derivation from the start symbol.
- ◆ **Basis**: We can reach  $S$  (the start symbol).
- ◆ **Induction**: if we can reach  $A$ , and there is a production  $A \rightarrow \alpha$ , then we can reach all symbols of  $\alpha$ .

# Unreachable Symbols – (2)

- ◆ Easy inductions in both directions show that when we can discover no more symbols, then we have all and only the symbols that appear in derivations from  $S$ .
- ◆ **Algorithm**: Remove from the grammar all symbols not discovered reachable from  $S$  and all productions that involve these symbols.

# Eliminating Useless Symbols

- ◆ A symbol is *useful* if it appears in some derivation of some terminal string from the start symbol.
- ◆ Otherwise, it is *useless*.  
Eliminate all useless symbols by:
  1. Eliminate symbols that derive no terminal string.
  2. Eliminate unreachable symbols.

## Example: Useless Symbols – (2)

$S \rightarrow AB, A \rightarrow C, C \rightarrow c, B \rightarrow bB$

- ◆ If we eliminated unreachable symbols first, we would find everything is reachable.
- ◆ A, C, and c would never get eliminated.



# Why It Works

- ◆ After step (1), every symbol remaining derives some terminal string.
- ◆ After step (2) the only symbols remaining are all derivable from  $S$ .
- ◆ In addition, they still derive a terminal string, because such a derivation can only involve symbols reachable from  $S$ .

# Epsilon Productions

- ◆ We can almost avoid using productions of the form  $A \rightarrow \epsilon$  (called  *$\epsilon$ -productions* ).
  - ◆ The problem is that  $\epsilon$  cannot be in the language of any grammar that has no  $\epsilon$ -productions.
- ◆ **Theorem:** If  $L$  is a CFL, then  $L - \{\epsilon\}$  has a CFG with no  $\epsilon$ -productions.

# Nullable Symbols

- ◆ To eliminate  $\epsilon$ -productions, we first need to discover the *nullable variables* = variables  $A$  such that  $A \Rightarrow^* \epsilon$ .
- ◆ **Basis**: If there is a production  $A \rightarrow \epsilon$ , then  $A$  is nullable.
- ◆ **Induction**: If there is a production  $A \rightarrow \alpha$ , and all symbols of  $\alpha$  are nullable, then  $A$  is nullable.

# Example: Nullable Symbols

$S \rightarrow AB, A \rightarrow aA \mid \epsilon, B \rightarrow bB \mid A$

- ◆ **Basis:** A is nullable because of  $A \rightarrow \epsilon$ .
- ◆ **Induction:** B is nullable because of  $B \rightarrow A$ .
- ◆ Then, S is nullable because of  $S \rightarrow AB$ .

# Eliminating $\epsilon$ -Productions

- ◆ **Key idea:** turn each production  $A \rightarrow X_1 \dots X_n$  into a family of productions.
- ◆ For each subset of nullable  $X$ 's, there is one production with those eliminated from the right side "in advance."
  - ◆ Except, if all  $X$ 's are nullable, do not make a production with  $\epsilon$  as the right side.

# Example: Eliminating $\epsilon$ -Productions

$S \rightarrow ABC, A \rightarrow aA \mid \epsilon, B \rightarrow bB \mid \epsilon, C \rightarrow \epsilon$

◆ A, B, C, and S are all nullable.

◆ New grammar:

$S \rightarrow \cancel{ABC} \mid AB \mid \cancel{AC} \mid \cancel{BC} \mid A \mid B \mid \cancel{C}$

$A \rightarrow aA \mid a$

$B \rightarrow bB \mid b$

Note: C is now useless.  
Eliminate its productions.

# Unit Productions

- ◆ A *unit production* is one whose right side consists of exactly one variable.
- ◆ These productions can be eliminated.
- ◆ **Key idea:** If  $A \Rightarrow^* B$  by a series of unit productions, and  $B \rightarrow \alpha$  is a non-unit-production, then add production  $A \rightarrow \alpha$ .
- ◆ Then, drop all unit productions.

# Unit Productions – (2)

- ◆ Find all pairs  $(A, B)$  such that  $A \Rightarrow^* B$  by a sequence of unit productions only.
- ◆ **Basis**: Surely  $(A, A)$ .
- ◆ **Induction**: If we have found  $(A, B)$ , and  $B \rightarrow C$  is a unit production, then add  $(A, C)$ .



# Cleaning Up a Grammar

- ◆ **Theorem:** if  $L$  is a CFL, then there is a CFG for  $L - \{\epsilon\}$  that has:
  1. No useless symbols.
  2. No  $\epsilon$ -productions.
  3. No unit productions.
- ◆ I.e., every right side is either a single terminal or has length  $\geq 2$ .

# Chomsky Normal Form

- ◆ A CFG is said to be in *Chomsky Normal Form* if every production is of one of these two forms:
  1.  $A \rightarrow BC$  (right side is two variables).
  2.  $A \rightarrow a$  (right side is a single terminal).
- ◆ **Theorem:** If  $L$  is a CFL, then  $L - \{\epsilon\}$  has a CFG in CNF.

# Proof of CNF Theorem

- ◆ **Step 1:** “Clean” the grammar, so every production right side is either a single terminal or of length at least 2.
- ◆ **Step 2:** For each right side  $\neq$  a single terminal, make the right side all variables.
  - ◆ For each terminal  $a$  create new variable  $A_a$  and production  $A_a \rightarrow a$ .
  - ◆ Replace  $a$  by  $A_a$  in right sides of length  $> 2$ .

## Example: Step 2

- ◆ Consider production  $A \rightarrow BcDe$ .
- ◆ We need variables  $A_c$  and  $A_e$ . with productions  $A_c \rightarrow c$  and  $A_e \rightarrow e$ .
  - ◆ **Note:** you create at most one variable for each terminal, and use it everywhere it is needed.
- ◆ Replace  $A \rightarrow BcDe$  by  $A \rightarrow BA_cDA_e$ .

# CNF Proof – Continued

- ◆ **Step 3:** Break right sides longer than 2 into a chain of productions with right sides of two variables.
- ◆ **Example:**  $A \rightarrow BCDE$  is replaced by  $A \rightarrow BF$ ,  $F \rightarrow CG$ , and  $G \rightarrow DE$ .
  - ◆ F and G must be used nowhere else.

# Example of Step 3 – Continued

- ◆ Recall  $A \rightarrow BCDE$  is replaced by  $A \rightarrow BF$ ,  $F \rightarrow CG$ , and  $G \rightarrow DE$ .
- ◆ In the new grammar,  $A \Rightarrow BF \Rightarrow BCG \Rightarrow BCDE$ .
- ◆ **More importantly:** Once we choose to replace  $A$  by  $BF$ , we must continue to  $BCG$  and  $BCDE$ .
  - ◆ Because  $F$  and  $G$  have only one production.